



National Institute for Public Health  
and the Environment  
*Ministry of Health, Welfare and Sport*

# Regulering van PBT/vPvB stoffen

REACH Restrictie, Autorisatie  
en Socio-Economische Analyse

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National Institute for Public Health  
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## Introduction REACH SEA in REACH Case study



# Introduction into REACH

European chemicals Regulation since 2007:

## Registration:

industry needs to show and document safe use

## Evaluation:

authorities (European Chemicals Agency and EU Member States) evaluate safe use

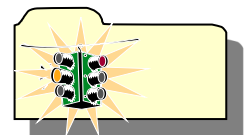
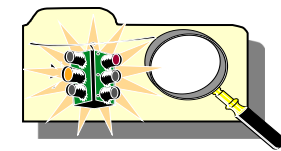
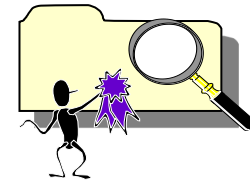
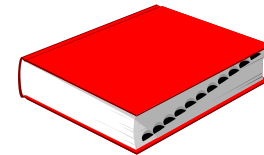
## Authorisation:

substitution of substances of very high concern

## restriction:

limit the use of substances posing unacceptable risks

of **CH**emical substances





# PBT in REACH

- Proposals Substance of Very High Concern (SVHC, article 57d/e)
  - Annex XV SVHC dossier (by ECHA or MS)
  - ⇒ Candidate list (<https://echa.europa.eu/candidate-list-table>)
  
- Prioritising for Authorisation
  - ⇒ Possible listing on Authorisation List (Annex XIV)  
<https://echa.europa.eu/nl/authorisation-list>)
  
- Proposal of Restriction possible
  - Annex XV Restriction dossier (by ECHA or MS)
  - ⇒ Restrictielijst (Annex XVII) <https://echa.europa.eu/substances-restricted-under-reach>
  
- Other possibilities outside REACH (POP, ...)



## SVHC – Candidate list

- REACH defines hazard criteria for SVHC (Article 57)
  - (a) Carcinogenic
  - (b) Mutagenic
  - (c) Reprotoxic
  - (d) **Persistent, bioaccumulative and toxic** substances
  - (e) **Very persistent and very bioaccumulative** substances
  - (f) Substances of equivalent level of concern
- Criteria for PBT and vPvB set out in Annex XIII



# SVHC – Priorisation for Annex XIV (Authorisation)

- Article 58 (3)
  - (a) **PBT en vPvB stoffen**
  - (b) Wide dispersive use
  - (c) High volumes





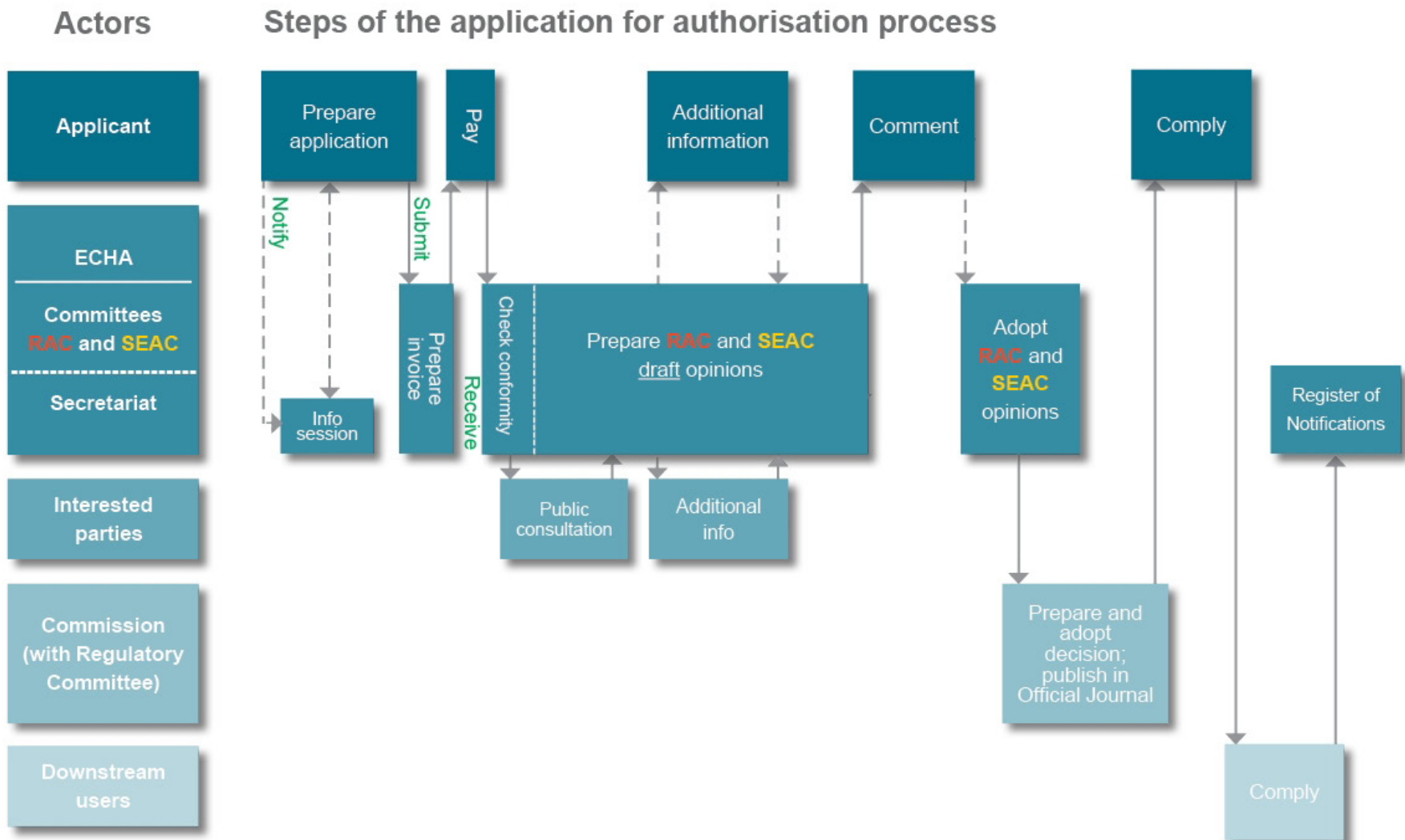
## PBT in REACH - Authorisation

- For authorisation of PBT and vPvB substances the so-called SEA route is always followed

Elements to consider in an authorisation decision:

- **Socio-economic benefits outweigh the risk**
- **No suitable alternative substances or technologies**

# Authorisation process



Source: <https://echa.europa.eu/nl/regulations/reach/authorisation/applications-for-authorisation/authorisation-process>



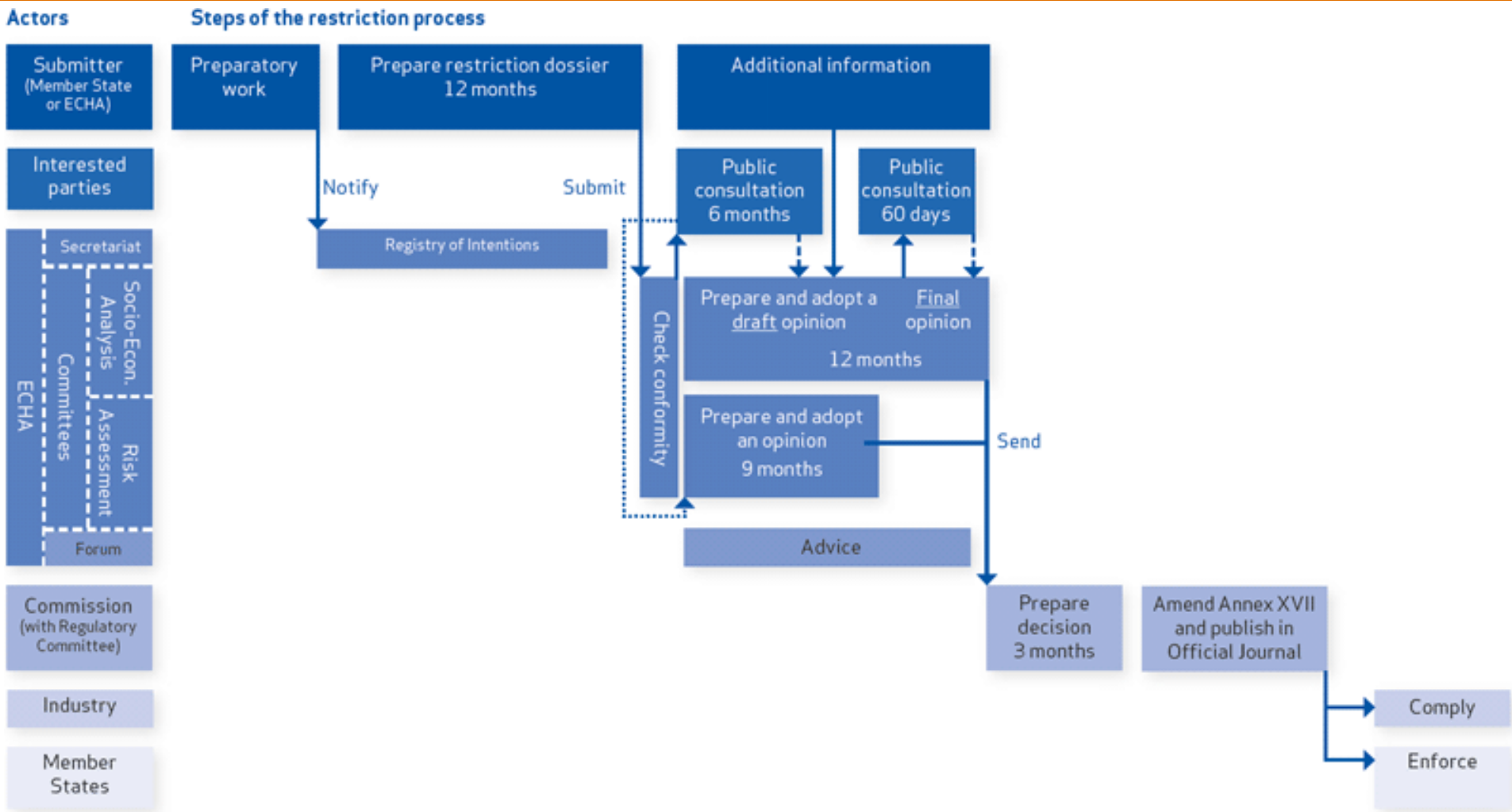


## PBT in REACH - Restriction

Elements to proof/consider in a restriction proposal:

- **Unacceptable risk** due to **manufacture, use or placing on the market**
- Need to address the risk on a Community-wide basis
- Take into account the **socio- economic impact** of restriction
- **Availability of alternatives**

# Restriction process



Source: <https://echa.europa.eu/nl/regulations/reach/restrictions/restriction-procedure/restrictions-process>



# Which PBT/vPvB substances are regulated?

- Candidate list: 197 substances, of which 52 PBT and/or vPvB
- 9 restrictions on PBT substances and 3 substances subject to authorisation
- Some substances regulated outside REACH: e.g. PCBs, PFOS, HCH

Candidate list	Type of REACH regulation	Examples of substances
1	Both authorisation and restriction	Anthracene oil
9	Only restriction	D4/D5, DecaBDE, Fluoranthene, TBTO, PFOA, B(a)P
3	Only authorisation	Coal tar pitch, HBCDD, Musk xylene
39	Only candidate list	PFHxS, Pyrene, Phenantrene, Dechlorane-plus



## What is a socio-economic analysis?

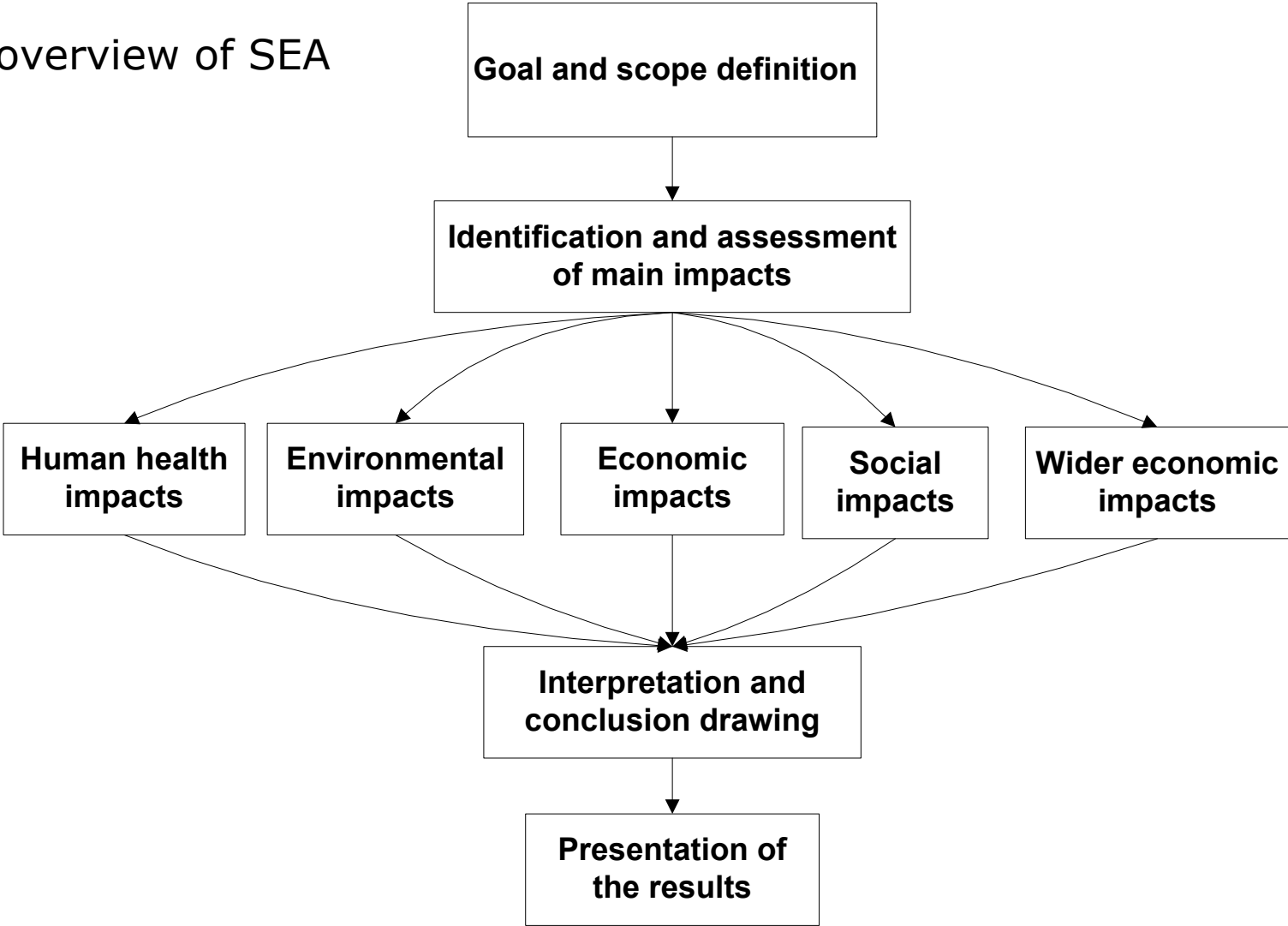
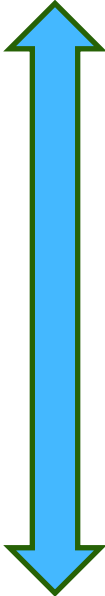
*"SEA is a tool to evaluate what costs and benefits an action will create for society by comparing what will happen if this action is implemented as compared to the situation where the action is not implemented" (ECHA)*



More information: <https://echa.europa.eu/nl/support/socio-economic-analysis-in-reach/>



# Schematic overview of SEA







## SEA within REACH

- Multidisciplinary assessment > need for broad range of expertise
- Impacts can be described qualitatively/quantitatively
- Monetarization simplifies comparison of positive and negative impacts
- SEA guidance for restriction and authorisation: no standard approach

Approaches
Benefit cost analysis
Break-even analysis
Cost effectiveness analysis + benchmark
Multi criteria analysis
Compliance cost analysis
Qualitative description of impacts incl. illustrative calculations

ECHA SEA guidance authorisation: [https://echa.europa.eu/documents/10162/23036412/sea\\_authorisation\\_en.pdf](https://echa.europa.eu/documents/10162/23036412/sea_authorisation_en.pdf)

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## How is SEA for PBTs carried out?

- Quantification of risks and impacts of PBT/vPvB for man and environment is (currently) not possible
- Therefore, comparison of the costs and benefits is not possible
- Proposed by SEAC (2014) **Cost effectiveness analysis:**
  - Aim: minimisation of emissions PBT/vPvB substances
  - What does it cost society to reduce the use/emission of PBT/vPvB substances (euro/kg)
  - Which measure is most cost effective?
  - Which costs does society consider acceptable to reduce use/emission of PBT/vPvB substances?

Source: [https://echa.europa.eu/documents/10162/13580/approach\\_for\\_evaluation\\_pbt\\_vpVB\\_substances\\_seac\\_en.pdf](https://echa.europa.eu/documents/10162/13580/approach_for_evaluation_pbt_vpVB_substances_seac_en.pdf)



## Benchmark development

- Project commissioned by Ministry I&M, and carried out by Free University (IVM, 2015)
- Main question: how much has been paid in the past to reduce the use/emissions PBT substances?
- Implicit assumption: past expenditures provide information on the willingness to pay to reduce the use/emissions of PBT substances
- Used for first contours of a benchmark
- Substances studied: D4/D5, HBCDD, HCB, HCH/lindane, PCBs, PFOS
- Costs for various types of measures included: substitution, replacement and disposal, remediation/clean-up

IVM 2015: [https://echa.europa.eu/documents/10162/13647/R15\\_11\\_pbt\\_benchmark\\_report\\_en.pdf](https://echa.europa.eu/documents/10162/13647/R15_11_pbt_benchmark_report_en.pdf)



## First impression of possible benchmark

- Below around 1000 euro/kg seems generally acceptable
- Above around 35 000 euro/kg is possible a hurdle for carrying out measures (clean-up activity postponed, exemptions introduced)
- Large grey zone
- And: data in the analysis limited, no hard conclusions or benchmark yet
- Further exploration of information base preferable/necessary



Note: the red and green areas do not relate to actual cases and are for illustrative purposes only.

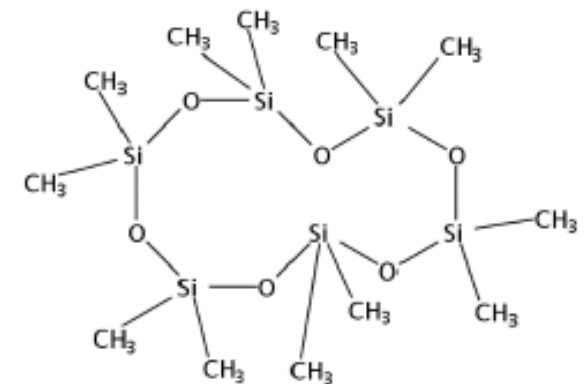
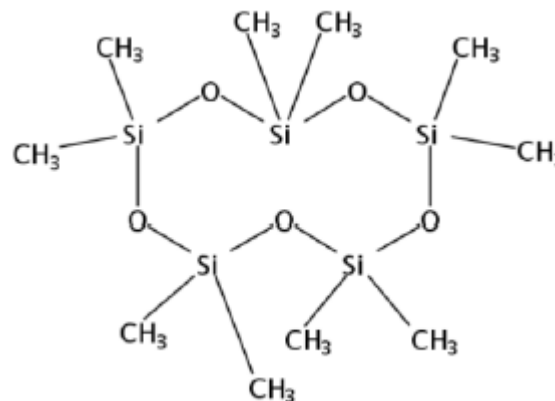
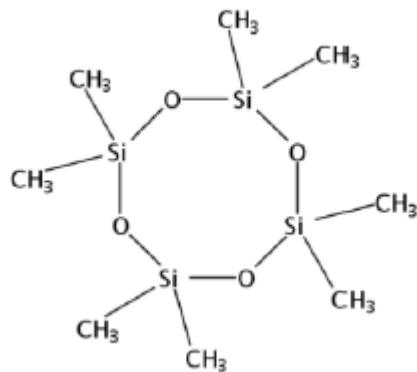
Source: IVM 2015





## Case study: D4, D5 and D6 – Restriction proposal

- Restriction proposal submitted by ECHA (January 2019)
- Wash-off application of D4 and D5 in cosmetics already restricted
- Scope of the restriction proposal based on largest emission sources:
  - D4, D5 and D6 leave-on cosmetics
  - D6 wash-off cosmetics
- E.g. uses at industrial sites derogated (e.g. silicone polymer production), medical applications, art cleaning





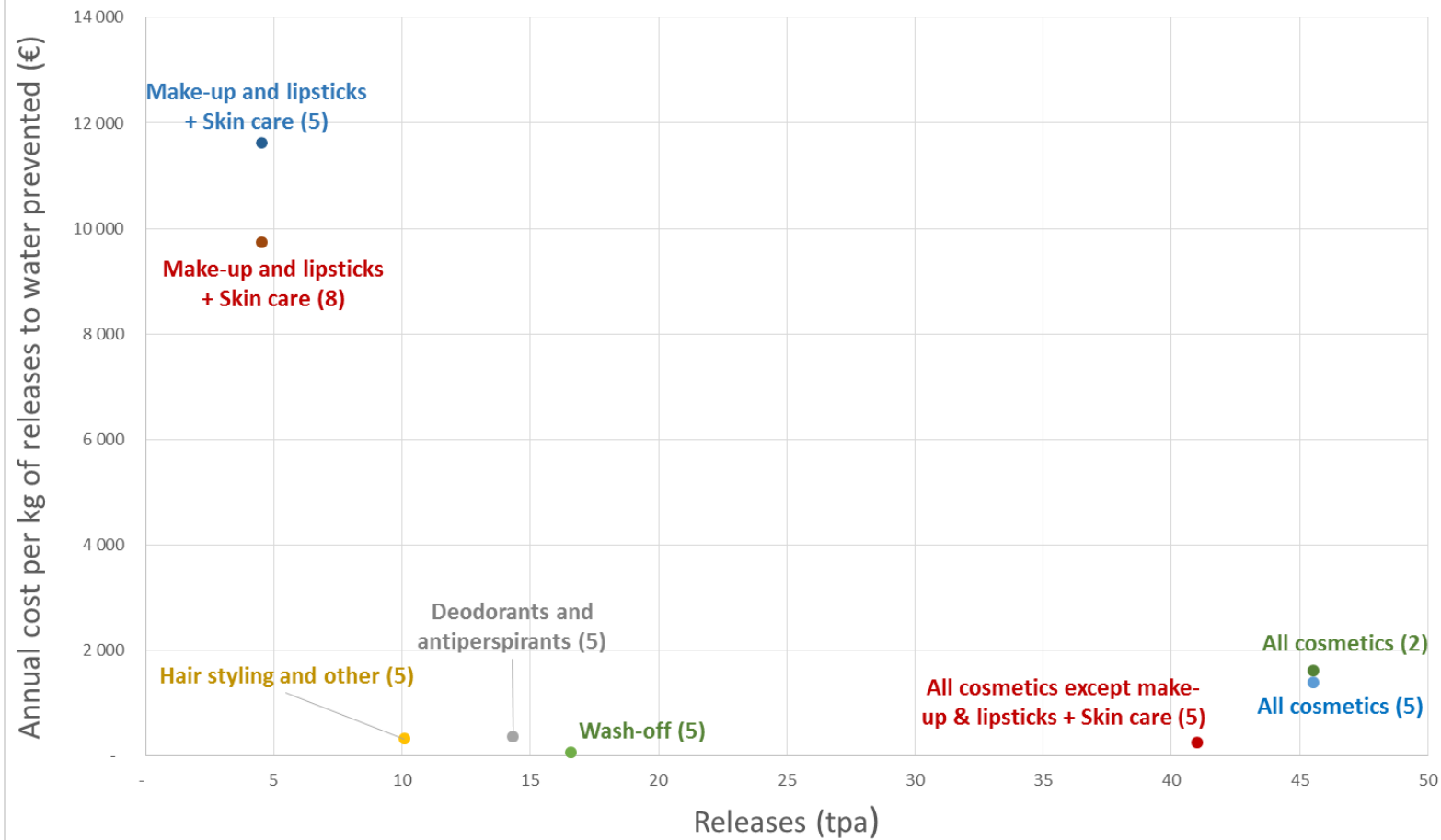
# Case study: D4, D5 and D6 – Restriction proposal

## Information provided in the SEA

- Alternatives: formulations (on the market) that do not contain siloxanes
- Costs estimated
  - Costs per reformulation
  - Number of (early) reformulations expected due the restriction
  - For various types of cosmetics containing siloxanes (after shave, deodorant, creams and lotions, foundation, body wash, make up remover, etc.)
  - Or: no reformulation but shift to alternative consumer products already on the market
- Emission and stock estimations to water and air as proxy for risk



## Magnitude of releases to water and annual cost per kg of releases to water prevented, by product group



Source and additional information: ECHA 2019, <https://echa.europa.eu/documents/10162/26cc3562-d0a0-1e09-b1eb-72f6bdf9309a>



## Case study: D4, D5 and D6 – Restriction proposal

- Average cost effectiveness estimates:
  - 4 €/kg prevented releases to air and water
  - 1400 €/kg prevented releases to water
  - 85 €/kg to avoid an environmental stock

**Table 16: Cost effectiveness of recent REACH restrictions**

Restriction under REACH	€/kg pa central value
Lead in shot in wetlands	9
Lead in PVC (under opinion making)	308
D4, D5 in wash-off cosmetics	415
DecaBDE	464
Phenylmercury compounds	649
PFOA-related substances	734
PFOA	1 649

Source: ECHA

Public consultation will start soon: <https://echa.europa.eu/nl/restrictions-under-consideration>







## Questions for discussion

- What is the best risk management route for PBT/vPvB substances (within REACH: SVHC candidate listing, authorisation, restriction)?
- Is the relevance of the use of SEA in the context of PBT/vPvB substances recognized?
- Is it appropriate to treat various PBT and vPvB substances equally within cost effectiveness analysis?
- Are benchmarks based on (previous) cost estimates meaningful for (regulatory) decision making?